In partnership with...

Intelligent Vision Systems, LLC

...a University of Michigan startup
Background

• **Ice accumulation on airplanes is one of the major causes of weather related accidents**
  – The “classic” icing certification envelopes (§25.1419 Ice Protection) covers at most only 99% of the icing conditions encountered during research flights conducted more than 50 years ago
  – Outside these conditions ice can accumulate rapidly and cause loss of control in minutes
  – Ice ingestion by turbines can cause severe power loss within minutes (e.g., AirBridge 747-8F)
  – In order to mitigate these problems, **Supercooled Large Drop Icing Conditions (SLD)** was added to 14 CFR 25 as §25.1420 in 2014

• Technologies originally developed for space applications can be used to **detect when an airplane is flying outside the icing certification envelopes**
  – The new technologies that we have been maturing contain only non-intrusive sensors
Basic Cloud Physics

• **Condensation of pure water vapor requires supersaturation of several hundred percent**
  – Since condensation nuclei are common in the atmosphere, supersaturation (of more than 1%) is rare
  – The number of cloud condensation nuclei usually determines the number of droplets in a cloud
  – Fixing the liquid water content (LWC), clouds forming in clean air have larger droplets than clouds forming in polluted air

• **Pure liquid water can be cooled to about -40 °C without freezing**
  – Since ice nuclei are rare in the atmosphere, supercooled liquid water is ubiquitous
  – Supercooled liquid water droplets freeze when contacting a solid (contact nucleation), causing icing
Cloud Droplets: The Aerosol Hypothesis

Maritime

- Clean Boundary Layer
- Large Droplets Vigorous "Warm" Coalescence
- Depleted Mixed Phase Heavy Rain No Lightning

Continental

- Polluted Boundary Layer
- Small Droplets Suppressed Coalescence Invigorated Mixed Phase
- Vigorous Thunderstorm Graupel Abundance Active Lightning

(Williams et al., 2002)
Cloud Droplet Radius Profile: A Function of the Number of Activated Aerosols ($N_a$)

Only ice crystals are found at temperatures lower than -40 °C

Rosenfeld et al., 2012
Certification

- Ice Protection Systems (IPS) are designed to mitigate problems when an airplane is exposed to icing conditions likely to be encountered during operation.

- The design and test of icing protection systems involves consideration of:
  - The meteorological conditions of 14 CFR part 25, Appendices C and O
  - The operational conditions which would affect the accumulation of ice on protected and unprotected surfaces of an airplane and its power system(s)
  - The ability of an airplane to either detect-and-exit SLD icing conditions safely, or operate safely in them was added to 14 CFR part 25 in 2014.

- Impingement rate is a function of droplet size and concentration:
  - A Mean Effective Diameter (MED) of 20 μm is usually used to determine the water catch rate and an MED of 40-50 μm to determine the impingement limits (AC 20-73)
  - New certification requirements are described in 14 CFR part 25 and AC 25-28
Today’s Situation

• Icing continues to be a challenge
  – Statistically, the “classic” icing certification envelopes (14 CFR part 25, Appendix C) do not cover the conditions encountered in at least 1 of each 100 icing encounters
  – Icing decreases $C_D$, decreases $C_L$ and can cause loss of control
  – Automatic flight control systems can mask the effects of ice accumulation
  – Early detection of ice accumulation is critical to flight safely—even for airplanes equipped with current icing protection systems

• Icing conditions can be detected immediately with new technologies
  – In flight, in the airspace around an airplane (including ice crystals)
  – Before takeoff, in the airframe, below snow accumulated after deicing

• Immediate detection can
  – Alert pilots when flying in conditions outside the icing certification envelopes
  – Comply with new requirements of 14 CFR part 25
The Real World Conditions

- Liquid water content (g/m³)
  - Clean airplane

- Median volume diameter (μm)
  - Politovich (1996)
  - Clean airplane
Original Icing Intensity Scale

<table>
<thead>
<tr>
<th>Supercooled LWC (g/m³)</th>
<th>Icing Intensity</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-0.1</td>
<td>Trace</td>
</tr>
<tr>
<td>0.1-0.6</td>
<td>Light</td>
</tr>
<tr>
<td>0.6-1.2</td>
<td>Moderate</td>
</tr>
<tr>
<td>&gt; 1.2</td>
<td>Severe or Heavy</td>
</tr>
</tbody>
</table>

Lewis (1951)

Based on statics from measurements in Mt. Washington (Richard K. Jeck, 2001)
# Rosemount Icing Intensity Scale

<table>
<thead>
<tr>
<th>Supercooled LWC (g/m³)</th>
<th>Icing Intensity</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-0.25</td>
<td>Level 1 (Trace)</td>
</tr>
<tr>
<td>0.25-0.5</td>
<td>Level 2 (Light)</td>
</tr>
<tr>
<td>0.5-1.0</td>
<td>Level 3 (Moderate)</td>
</tr>
<tr>
<td>1-2</td>
<td>Level 4 (Heavy)</td>
</tr>
</tbody>
</table>

Jeck (2001)
Rosemount Model 871FN/512AG Icing Rate System, Product Data Sheet 2517 (1998)
Ice Accretion Rate

- Depends critically on the value of three parameters
  - Cloud Liquid Water Content (LWC), droplet diameter, and temperature (Jones and Lewis, 1949)

- For a specific supercooled LWC, cloud droplet size distribution, and outside air temperature (OAT)
  - Different airfoils have different accretion rates
  - The accretion rates depend on airspeed, geometry, altitude and angle of attack

- Numerical models
  - Such as LEWICE are usually used to calculate accretion rates (Wright, 1995)
  - Are used to calculate the amount of ice accumulated in a given time interval
Icing Certification Envelope

• Specified in Appendices C and O of 14 CFR part 25
  – Appendix C is based on data collected during 252 icing encounters by research airplanes in the 1940s (Lewis and Bergrum, 1952)
    • **Continuous maximum conditions** represent icing conditions in stratiform clouds (important for the design of thermal ice protection systems for large airplanes)
    • **Intermittent maximum conditions** represent icing conditions in convective clouds (important for the design of engine ice protection)
  – **Appendix O is based on more recent data.** It includes SLD, freezing drizzle, and freezing rain

• The Appendix C and O icing envelopes contain the three most important parameters for the design of airplanes ice protection systems
  – Appendix C represents the probable maximum (99%) value of cloud liquid water content (LWC) and droplet size expected in random icing encounters of “standard” extent
  – Appendix O represents less likely but more hazardous SLD encounters
Continuous Maximum Envelope

The probability of group of values of liquid water content (LWC) associated simultaneously with air temperature (T), and droplet diameter (d) within specific ranges being exceeded.

14 CFR part 25 Appendix C

Typically extending horizontally 17.4 nm.
Intermittent Maximum Envelope

Typically extending horizontally 2.6 nm.

14 CFR part 25 Appendix C
Freezing Drizzle

\[ D_{\text{Max}} = 400 \, \mu\text{m} \]

Freezing Rain

\[ D_{\text{Max}} = 2 \, \text{mm} \]

Freezing Drizzle MVD \(< 40 \, \text{microns}\)

Freezing Drizzle MVD \(> 40 \, \text{microns}\)

Freezing Rain MVD \(< 40 \, \text{microns}\)

Freezing Rain MVD \(> 40 \, \text{microns}\)
Appendix C Certification Envelope

Stratiform Clouds (Continuous Max)

Liquid Water Content (g/m³)

-22 F

+32 F

Mean Effective Diameter (µm)

45 min only

99% of the icing conditions only!

Warning: >1 in each 100 icing encounters is statistically outside the icing certification envelope

SLD (Appendix O)

Risk is 2-D Quantity
- Probability
- Consequence
Summary

- **Icing is a serious problem even for airplanes certified to fly in it**
  - Statistically more than 1 in 100 icing encounters are outside the “classic” (14 CFR part 25, Appendix C) icing certification envelope
  - Hot air systems may not fully evaporate all impinging water drops, resulting in runback ice
  - Tapping air from the engine to anti-ice systems reduces the available thrust
  - Automation systems can mask effects of ice accumulation and lead to stalls

- **Immediate detection can**
  - Alert pilots when conditions outside the icing certification envelope are encountered
  - Help pilots mitigate the negative effects of automation

- **The technology discussed next can alert pilots**
  - Immediately after an airplane encounter conditions outside the icing certification envelope
  - Can reliably detect ice crystals
  - Increase fuel efficiency and range by decreasing the use of bleed air
A Sample of Current Technologies
Dassault Avoids Existing Ice Detection Probes
Our Approach to Icing Detection
Absorption Spectra of Water Substance

Complex index of refraction, $\tilde{n} = n + i \kappa$

The 2.05-2.30 μm band is ideal because it is in a water vapor window region illuminated by solar radiation. Moreover, water and ice are strongly absorbing in this band which is located in the reflected portion of the IR spectrum.

Water is bluer than ice at VIS At 0.5 μm (blue) ice absorbs twice as much radiation as water. Water is less red than ice at VIS At 0.7 μm (red) water absorbs more radiation than ice.
Sea Ice & Water

Water is bluer than ice
Liquid Water

Complex index of refraction, $\tilde{n} = n + i \kappa$

$n$

$\kappa$

Region of interest:
$n \approx 1.33$

Wavelength (\text{\textmu}m)

Water Ice

$n$

$\kappa$

Region of interest:
$n \approx 1.31$

Wavelength (\text{\textmu}m)

Gauthier, 1996
The 2.05-2.30 μm Band

Complex index of refraction, \( \tilde{n} = n + i \kappa \)

\[ \kappa = \text{absorption} \]

\[ n = \frac{c}{v_{\text{ph}}} \]

where \( v_{\text{ph}} \) phase speed

\[ \varepsilon = \varepsilon_R + i \varepsilon_i \]

where \( \varepsilon_R = n^2 - \kappa^2 \) and \( \varepsilon_i = 2 n \kappa \)

Possible for increasing the signal

Water Vapor Window

Water -8 °C

Water 22 °C

Ice -25 °C
Typical Visible Camera Specs

The spectral bandwidth of the Red and Blue bands is about 100 nm. TheQE is about 40% or 0.4.
Theoretical Transmittance Ratios

<table>
<thead>
<tr>
<th>Crossover Point</th>
<th>(\frac{I_{\text{Red}}}{I_{\text{Blue}}}) Water</th>
<th>(\frac{I_{\text{Red}}}{I_{\text{Blue}}}) Ice</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.6 μm</td>
<td>0.83 (1 m)</td>
<td>0.95 (1 m)</td>
<td>Water is bluer than ice</td>
</tr>
<tr>
<td>1.6 μm</td>
<td>0.50 (1 mm)</td>
<td>0.05 (1 mm)</td>
<td>Water is redder than ice</td>
</tr>
<tr>
<td>2.15 μm</td>
<td>0.08 (1 mm)</td>
<td>0.008 (1 mm)</td>
<td>Water is redder than ice</td>
</tr>
</tbody>
</table>

\[
\frac{I_{\text{Red}}(z)}{I_{\text{Blue}}(z)} = \frac{\exp\left(-\frac{4\pi\kappa_{\text{Red}} z}{\lambda_{\text{Red}}}ight)}{\exp\left(-\frac{4\pi\kappa_{\text{Blue}} z}{\lambda_{\text{Blue}}}ight)} = \exp\left\{4\pi\left(\kappa_{\text{Blue}} \frac{\lambda_{\text{Blue}}}{\lambda_{\text{Red}} - \lambda_{\text{Blue}}}\right)z\right\} \quad I = \text{transmitted signal}, \ z = \text{path length.}
\]

At 0.6 μm and 1.6 μm, increases in the light-path-length increases the redness because around these crossover points absorption increases with wavelength. At around 2 μm, the opposite behavior is observed because absorption decreases with wavelength.
Ratio of Reflectance Measurements and Absorption Coefficients

\[ \gamma = \left( \frac{\kappa_{2.08\mu m}}{\kappa_{2.20\mu m}} \right)^{1/2} \]

- **Ice particles**: \( \gamma = 0.40 \)
- **Water droplets**: \( \gamma = 0.75-0.85 \)
- **Mixed phase**

Number of cases

\[ \gamma = \text{Ratio of the reflectance at 2.08 and 2.20 } \mu \text{m} \]
Supercooled Large Droplets (SLD)
A hazard for any airplane... can be measured optically
Supercooled Large Droplets (SLD)

A more sophisticated model
Droplets Size Retrieval

After Rosenfeld et al. (2012)
The Simplest Implementation
For detecting supercooled water and SLD

Flying in hazards icing conditions?

Sources: Steve Parsons/PA
Supporting Technique: Ring Resonator

Capable of Measuring Liquid Water Content

Detects Ice Accumulation... where it matters!

...On the airframe, anywhere that is required with a non-intrusive sensor
Theoretical Quality Factor

![Graph showing the theoretical quality factor for different materials as a function of frequency.](image)
A Few Results
Unloaded: Air Only
Water Layer (2 mm thick)
Ice Layer (2 mm thick)
Unloaded: Air Only
Detector & Light Source Geometry

The angle is exaggerated

Detector

Light Source

Hawkeye IR Light Source

Sensitivity $\approx D^2$

The Best Geometry:
Light source at zenith (this minimizes surface reflection and therefore maximizes internal scattering)
A Good Performing Detector

- The PbS Photodetector (PDA30G) sensor
Halogen Automotive Light Source

Brand       CEC Industries
Energy Used  70 Watts
Volts        24
Base         PK22s
Bulb Shape   T-3 1/4
Candle Power 146
Bulb Finish  Clear
Bulb Technology  Halogen
Average Rated Life (hr) 150
Class and Filament  C-6
Length (in)   1.65
Diameter (in) 0.45
Hawkeye Light Source

Recommended (Maximum) Operating Parameters

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Voltage, V</td>
<td>24</td>
</tr>
<tr>
<td>Temperature °C</td>
<td>1385</td>
</tr>
<tr>
<td>Current, A</td>
<td>1.5</td>
</tr>
<tr>
<td>Power, W</td>
<td>37</td>
</tr>
</tbody>
</table>

Properties at Recommended Parameters

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Life</td>
<td>5,000+ Hours</td>
</tr>
<tr>
<td>Emissivity, %</td>
<td>80</td>
</tr>
<tr>
<td>Active Area (mm)</td>
<td>6 (W) x 4.4 (L)</td>
</tr>
<tr>
<td>Material</td>
<td>Silicon Carbide</td>
</tr>
</tbody>
</table>

IR-Si series emitters can be paired with elliptical or parabolic reflectors for a significantly more efficient collimation of energy. Windows are also available for specific transmitting ranges.
Chopper Wheel: 400 rpm, 2 blades: 13.3 samples/s

Results Reported: 6.4 s running mean values (85 measurements)
A Few Results
10 V, 1.57 A Placed about 30° from the vertical

<table>
<thead>
<tr>
<th></th>
<th>1. Blue (V)</th>
<th>2. Maize (V)</th>
<th>( R_1/R_2 )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dry</td>
<td>10.85</td>
<td>17.32</td>
<td>0.63</td>
</tr>
<tr>
<td>Wet</td>
<td>5.972</td>
<td>9.700</td>
<td>0.62</td>
</tr>
<tr>
<td>Water</td>
<td>2.167</td>
<td>3.543</td>
<td>0.61</td>
</tr>
<tr>
<td>Frosty Concrete</td>
<td>1.730</td>
<td>1.981</td>
<td>0.87</td>
</tr>
<tr>
<td>Black Ice –Smooth</td>
<td>1.349</td>
<td>1.202</td>
<td>1.12</td>
</tr>
</tbody>
</table>

400 rpm
1.2 m from target

As expected water is bluer than ice.
PbS Detector Signal (V)
Halogen Bulb: LumenFlow Reflector

19 V, 2.23 A Placed about 30° from the vertical

<table>
<thead>
<tr>
<th>Surface Type</th>
<th>1. Blue (V)</th>
<th>2. Maize (V)</th>
<th>R₁/R₂</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dry</td>
<td>Sat</td>
<td>Sat</td>
<td>---</td>
</tr>
<tr>
<td>Wet</td>
<td>14.26</td>
<td>21.28</td>
<td>0.67</td>
</tr>
<tr>
<td>Water</td>
<td>5.045</td>
<td>6.991</td>
<td>0.72</td>
</tr>
<tr>
<td>Frosty Concrete</td>
<td>4.952</td>
<td>5.176</td>
<td>0.96</td>
</tr>
<tr>
<td>Black Ice –Smooth</td>
<td>2.957</td>
<td>2.398</td>
<td>1.23</td>
</tr>
</tbody>
</table>

400 rpm
1.2 m from target

1. Blue Channel = 0.624 μm
2. Maize Channel = 0.450 μm

As expected water is bluer than ice.
PbS Detector Signal (V)
Hawkeye IR Light: Si-217-p-1

<table>
<thead>
<tr>
<th>Condition</th>
<th>1. Blue (V)</th>
<th>2. Maize (V)</th>
<th>R&lt;sub&gt;1&lt;/sub&gt;/R&lt;sub&gt;2&lt;/sub&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dry</td>
<td>3.599</td>
<td>5.816</td>
<td>0.61</td>
</tr>
<tr>
<td>Wet</td>
<td>1.850</td>
<td>3.071</td>
<td>0.60</td>
</tr>
<tr>
<td>Water</td>
<td>0.710</td>
<td>0.797</td>
<td>0.89</td>
</tr>
<tr>
<td>Water Drying</td>
<td>1.925</td>
<td>3.032</td>
<td>0.64</td>
</tr>
<tr>
<td>Frosty Concrete</td>
<td>0.645</td>
<td>0.705</td>
<td>0.91</td>
</tr>
<tr>
<td>Black Ice –Smooth</td>
<td>0.319</td>
<td>0.248</td>
<td>1.29</td>
</tr>
</tbody>
</table>

24 V, 1.30 A Placed about 10° from the vertical

400 rpm
1.2 m from target

1. Blue Channel = 0.624 μm
2. Maize Channel = 0.450 μm

As expected water is bluer than ice.
PbS Detector Signal (V)  
Halogen Bulb: LumenFlow Reflector

<table>
<thead>
<tr>
<th></th>
<th>1. Blue (V)</th>
<th>2. Maize (V)</th>
<th>R₁/R₂</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dry</td>
<td>3.965</td>
<td>5.916</td>
<td>0.67</td>
</tr>
<tr>
<td>Wet</td>
<td>2.365</td>
<td>3.326</td>
<td>0.71</td>
</tr>
<tr>
<td>Water</td>
<td>0.896</td>
<td>0.562</td>
<td>1.59</td>
</tr>
<tr>
<td>Frosty Concrete</td>
<td>0.527</td>
<td>0.640</td>
<td>0.82</td>
</tr>
</tbody>
</table>

24 V, 2.53 A Placed about 30° from the vertical  
400 rpm  
1.2 m from target

1. Blue Channel = 1.705 μm  
2. Maize Channel = 1.535 μm

As expected water is redder than ice at around 1.6 μm.
PbS Detector Signal (V)
Hawkeye IR Light: Si-217-p-1

Measurements made on June 22, 2015

24 V, 1.23 A Placed about 10° from the vertical

<table>
<thead>
<tr>
<th>Condition</th>
<th>1. Blue (V)</th>
<th>2. Maize (V)</th>
<th>R₁/R₂</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dry</td>
<td>0.454</td>
<td>0.269</td>
<td>0.59</td>
</tr>
<tr>
<td>Wet</td>
<td>0.252</td>
<td>0.162</td>
<td>0.64</td>
</tr>
<tr>
<td>Water</td>
<td>0.174</td>
<td>0.564</td>
<td>3.24</td>
</tr>
<tr>
<td>Frosty Concrete</td>
<td>0.116</td>
<td>0.103</td>
<td>0.89</td>
</tr>
<tr>
<td>Black Ice –Smooth</td>
<td>0.409</td>
<td>0.238</td>
<td>1.72</td>
</tr>
</tbody>
</table>

The 2 μm band is the best for detecting water.

As expected around 2 μm water is redder than ice.

Illumination from the zenith maximizes the results.
Ice Detection on Airplane Surfaces
# PbS Detector Signal (V)

**Halogen Bulb: LumenFlow Reflector**

**White Polyurethane**

<table>
<thead>
<tr>
<th></th>
<th>Dry</th>
<th>Wet</th>
<th>Icy</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Measurements made on</strong></td>
<td><strong>June 22, 2015</strong></td>
<td><strong>400 rpm</strong></td>
<td><strong>1.2 m from target</strong></td>
</tr>
<tr>
<td>7.2 V, 1.32 A</td>
<td>Placed about 30° from the vertical</td>
<td>06/22/15</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>1. Blue (V)</th>
<th>2. Maize (V)</th>
<th>$R_1/R_2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dry</td>
<td>22.35</td>
<td>22.48</td>
<td>0.99</td>
</tr>
<tr>
<td>Wet</td>
<td>14.04</td>
<td>22.31</td>
<td>0.63</td>
</tr>
<tr>
<td>Icy</td>
<td>12.35</td>
<td>18.95</td>
<td>0.65</td>
</tr>
</tbody>
</table>

1. Blue Channel = 0.624 μm
2. Maize Channel = 0.450 μm

Water is expected to be bluer than ice.
## PbS Detector Signal (V)

### Halogen Bulb: LumenFlow Reflector

- **24 V, 2.54 A**  Placed about 30° from the vertical

<table>
<thead>
<tr>
<th></th>
<th>1. Blue (V)</th>
<th>2. Maize (V)</th>
<th>$R_1/R_2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dry</td>
<td>11.250</td>
<td>5.909</td>
<td>1.90</td>
</tr>
<tr>
<td>Wet</td>
<td>5.058</td>
<td>2.852</td>
<td>1.77</td>
</tr>
<tr>
<td>Icy</td>
<td>2.307</td>
<td>3.331</td>
<td>0.69</td>
</tr>
</tbody>
</table>

- 400 rpm
- 1.2 m from target

---

**As expected water is redder than ice around 2 μm.**

Measurements made on June 22, 2015

06/22/15
### 2M Thermopile Signal (mV)
**Halogen Bulb: LumenFlow Reflector**

**White Polyurethane**
- **Dry**
- **Wet**
- **Icy**

<table>
<thead>
<tr>
<th></th>
<th>1. Blue (mV)</th>
<th>2. Maize (mV)</th>
<th>R&lt;sub&gt;1&lt;/sub&gt;/&lt;R&lt;sub&gt;2&lt;/sub&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dry</td>
<td>89.6</td>
<td>66.4</td>
<td>1.35</td>
</tr>
<tr>
<td>Wet</td>
<td>58.4</td>
<td>49.6</td>
<td>1.18</td>
</tr>
<tr>
<td>Icy</td>
<td>16.8</td>
<td>30.4</td>
<td>0.55</td>
</tr>
</tbody>
</table>

- 28.9 V, 2.81 A Placed about 30° from the vertical
- 06/22/15

400 rpm
1.2 m from target

**1. Blue Channel** = 2.200 μm
**2. Maize Channel** = 2.090 μm

As expected, around 2 μm water is redder than ice.

Measurements made on June 22, 2015
Concluding Remarks

• The new technologies that we have been maturing
  – Have the potential to detect if an airplane is flying within the icing certification envelopes
  – Comply with regulations (14 CFR part 25, Appendices C and O)
  – Could lead to reducing in fuel consumption
  – Could increase thrust available during critical flight phases

• Immediate icing detection can
  – Alert pilots when conditions outside the icing certification envelope are encountered
  – Help pilots mitigate the negative effects of automation

• What is the best way to work together?
  – On technology maturation
  – On prototype development (legacy companies have expressed interest in the technology)
Thanks!